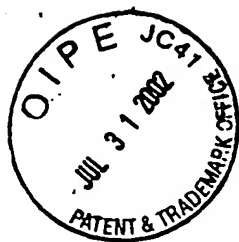


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PATENT
Attorney Docket No. MIT-051CN2
(5473/53)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Zilles et al.	BATCH NO.:	Not Yet Assigned
SERIAL NO.:	10/055,565	GROUP NO.:	2173
FILING DATE:	October 26, 2001	EXAMINER:	Not Yet Assigned
TITLE:	METHOD AND APPARATUS FOR DETERMINING FORCES TO BE APPLIED TO A USER THROUGH A HAPTIC INTERFACE		

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 15th day of July 2002.


Irja Zarembok

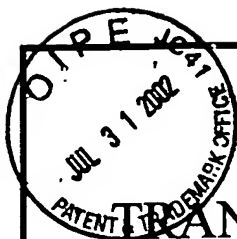
Commissioner for Patents
Washington, DC 2023

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Information Disclosure Statement (2 pgs.);
Form PTO-1449 (6 pgs.); and
Return receipt postcard

2452485



TRANSMITTAL FORM

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Application Serial Number	10/055,565
Filing Date	October 26, 2001
First Named Inventor	Zilles
Group Art Unit	2173
Examiner Name	Not yet assigned
Attorney Docket No.	MIT-051CN2 (5473/53)
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawings <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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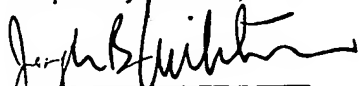
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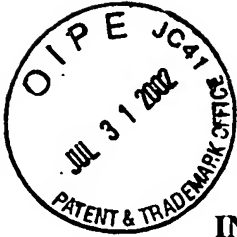
Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
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SIGNATURE BLOCK

Date: July 15, 2002
Reg. No. 42,897
Tel. No.: (617) 248-7695
Fax No.: (617) 248-7100

Respectfully submitted,


Joseph B. Milstein
Attorney for Applicants
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Boston, MA 02110



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PATENT
Attorney Docket No. MIT-051CN2
5473/53

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PRIORITY APPLICATION Serial No. 09/324,137

APPLICANTS:	Zilles et al.	BATCH NO.	Not yet assigned
SERIAL NO.:	10/055,565	GROUP NO.	2173
FILING DATE:	October 26, 2001	EXAMINER	Not yet assigned
TITLE:	METHOD AND APPARATUS FOR DETERMINING FORCES TO BE APPLIED TO A USER THROUGH A HAPTIC INTERFACE		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

Copies of the references cited are not enclosed because they have been previously cited by Applicants in the parent U.S. Patent application serial no. 09/324,137 provided under 37 C.F.R. 1.98(d) and M.P.E.P. 609 A(2).

Applicants make no representation that any of the references cited in the accompanying Form PTO-1449 are relevant to or are admitted as prior art to the above-identified application.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and

the requisite Statement is below, or
the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

the requisite Statement is below, **AND**

the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that :

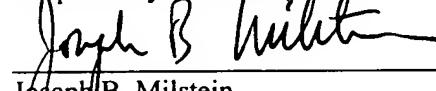
1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or

2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: July 15, 2002
Reg. No. 42,897

Tel. No.: (617) 248-7695
Fax No.: (617) 248-7100

Respectfully submitted,



Joseph B. Milstein
Attorney for Applicants
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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)

APPLICANTS: Zilles et al.

SERIAL NO.: 10/055,565

FILING DATE: October 26, 2001

GROUP: 2173

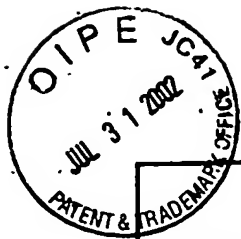
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U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	3,168,203	02/01/65	Gallistel	214	1	07/07/60
	A2	3,263,824	08/01/66	Jones et al.	214	1	12/20/63
	A3	3,449,008	06/01/69	Colechia	294	88	06/08/67
	A4	3,618,786	11/01/71	Fick	214	1CM	01/02/69
	A5	3,637,092	01/01/72	George et al.	214	1CM	04/30/70
	A6	4,062,455	12/13/77	Flatau	214	1	11/01/76
	A7	4,150,803	04/01/79	Fernandez	244	135A	10/05/77
	A8	4,302,138	11/01/81	Zarudiansky	414	5	01/22/79
	A9	4,510,574	04/09/85	Guttet et al.	700	260	08/23/82
	A10	4,604,016	08/01/86	Joyce	414	7	08/03/83
	A11	4,632,341	12/30/86	Repperger et al.	244	230	02/06/85
	A12	4,654,648	03/01/87	Herrington et al.	340	710	12/17/84
	A13	4,655,673	04/01/87	Hawkes	414	730	05/10/83
	A14	4,661,032	04/01/87	Arai	414	5	12/18/85
	A15	4,676,002	06/01/87	Slocum	33	1 MP	12/20/85
	A16	4,795,296	01/01/89	Jau	414	5	10/17/86
	A17	4,800,721	01/31/89	Cemenska et al.	60	393	02/13/87
	A18	4,837,734	06/06/89	Ichikawa et al.	364	513	02/26/87
	A19	4,839,838	06/01/89	LaBiche et al.	364	709.1	03/30/87
	A20	4,888,538	12/19/89	Dimitrov et al.	318	675	05/14/87
	A21	4,893,981	01/16/90	Yoshinada et al.	414	5	03/26/87
	A22	4,907,970	03/01/90	Meenen, Jr.	434	45	03/30/88
	A23	4,907,973	03/13/90	Hon	434	262	11/14/88



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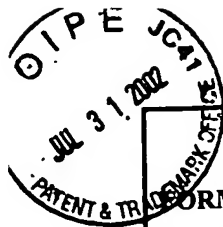
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Technology Center 2100 FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)
	APPLICANTS: Zilles et al.
	SERIAL NO.: 10/055,565
	FILING DATE: October 26, 2001
	GROUP: 2173

	A24	4,988,981	01/01/91	Zimmerman et al.	340	709	02/28/89
	A25	5,004,391	04/01/91	Burdea	414	6	08/21/89
	A26	5,007,300	04/01/91	Siva	74	471 X	01/22/90
	A27	5,018,922	05/01/91	Yoshinada et al.	414	5	09/12/89
	A28	5,019,761	05/28/91	Kraft	318	568.1	02/21/89
	A29	5,038,089	08/01/91	Szakaly	318	568.1	10/28/88
	A30	5,044,956	09/01/91	Behensky et al.	434	45	01/12/89
	A31	5,072,361	12/10/91	Davis et al.	364	167.1	02/01/90
	A32	5,103,404	04/07/92	McIntosh	318	568.2	12/20/89
	A33	5,116,051	05/01/92	Moncrief et al.	273	448 B	06/08/90
	A34	5,116,180	05/01/92	Fung et al.	414	5	05/03/90
	A35	5,142,931	09/01/92	Menahem	74	471 XY	02/14/91
	A36	5,143,505	09/01/92	Burdea et al.	414	5	02/26/91
	A37	5,184,319	02/02/93	Kramer	364	806	02/02/90
	A38	5,193,963	03/01/93	McAfee	414	5	10/01/90
	A39	5,223,776	06/29/93	Radke et al.	318	568.1	12/31/90
	A40	5,239,246	08/24/93	Kim	318	568.11	07/08/92
	A41	5,255,211	10/01/93	Redmond	364	578	02/22/90
	A42	5,264,768	11/23/93	Gregory et al.	318	561	10/06/92
	A43	5,266,875	11/01/93	Slotine et al.	395	99x	05/01/91
	A44	5,354,162	10/01/94	Burdea et al.	414	5	10/11/94
	A45	5,382,885	01/17/95	Salcudean et al.	318	568.11	08/09/93
	A46	5,389,865	02/14/95	Jacobus et al.	318	568.11	12/02/92
	A47	5,429,140	07/04/95	Burdea et al.	128	774	06/04/93
	A48	5,459,382	10/17/95	Jacobus et al.	318	568.11	06/09/94
	A49	5,482,051	01/09/96	Reddy et al.	128	733	04/06/94



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ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)

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	A50	5,489,830	02/01/96	Fernandez	318	628	09/01/94
	A51	5,497,452	03/05/96	Shimizu et al.	395	120	03/02/92
	A52	5,515,078	05/01/96	Greschler et al.	345	156	
	A53	5,576,727	11/19/96	Rosenberg et al.	345	179	06/05/95
	A54	D. 377,932	02/11/97	Schena et al.	D14	114	10/31/95
	A55	5,623,582	04/22/97	Rosenberg	395	99	07/14/94
	A56	5,625,576	04/29/97	Massie et al.	364	578	10/01/93
	A57	5,629,594	05/13/97	Jacobus et al.	318	568.11	10/16/95
	A58	5,691,898	11/25/97	Rosenberg et al.	364	190	03/28/96
	A59	5,701,140	12/23/97	Rosenberg et al.	345	156	07/12/94
	A60	5,721,566	02/24/98	Rosenberg et al.	345	161	06/09/95
	A61	5,724,264	03/03/98	Rosenberg et al.	364	559	08/07/95
	A62	5,734,373	03/31/98	Rosenberg et al.	345	161	12/01/95
	A63	5,737,505	04/07/98	Shaw et al.	395	119	10/15/96
	A64	5,739,811	04/14/98	Rosenberg et al.	345	161	09/27/95
	A65	5,751,289	05/12/98	Myers	345	419	01/16/96
	A66	5,754,023	05/19/98	Roston et al.	318	561	10/22/96
	A67	5,769,640	08/01/98	Jacobus et al.	434	262	08/10/95
	A68	5,784,542	07/21/98	Ohm et al.	395	95	10/23/96
	A69	5,790,108	08/04/98	Salcudean et al.	345	184	10/23/92
	A70	5,798,752	08/25/98	Buxton et al.	345	146	02/27/95
	A71	5,844,392	12/01/98	Peurach et al.	318	568.17	05/21/97
	A72	6,046,726	04/04/00	Keyson	345	156	09/29/97



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INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)

APPLICANTS: Zilles et al.

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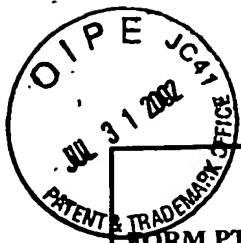
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FOREIGN PATENT DOCUMENTS

EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRA CT ONLY	ENGLISH LANG (Y/N)
	B1	WO 96/16397	05/30/96	PCT	G09G	5/08	11/22/95	N	Y
	B2	WO 96/22591	07/25/96	PCT	G09G	5/00	01/17/96	N	Y
	B3	WO 96/42078	12/27/96	PCT	G09G	3/02	06/07/96	N	Y
	B4	WO 97/06410	02/20/97	PCT	G01C	7/00	07/29/96	N	Y
	B5	WO 97/12337	04/03/97	PCT	G06F	19/00	09/25/96	N	Y
	B6	WO 97/12357	04/03/97	PCT	G09G	5/00	09/25/96	N	Y
	B7	WO 97/19440	05/29/97	PCT	G09G	5/00	11/05/96	N	Y
	B8	WO 97/21160	06/12/97	PCT	G06F	N/A	11/26/96	N	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.		OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)
	C1	Adachi, Y., "Touch and Trace on the Free-Form Surface of Virtual Object," Proceedings of IEEE Virtual Reality Annual International Symposium, September 18-22, 1993, Seattle WA, pgs. 162-168.
	C2	Agrawala, M. et al "3D Painting on Scanned Surfaces", Stanford University, 1995, pgs 145-150.
	C3	Atkinson, W. D. et al., "Computing with Feeling" COMPUT. & GRAPHICS, Vol. 2, 1977, pgs. 97-103.
	C4	Barr, Alan H., "Global and Local Deformations of Solid Primitives"; COMPUTER GRAPHICS; Vol. 18, No. 3, pgs. 21-30 (July, 1984).
	C5	Blinn, J.F., "Simulation of Wrinkled Surfaces," COMPUTER GRAPHICS, Volume 12-3, August 1978, pgs. 286-292.
	C6	Brooks, F. P. et al., "Project GROPE - Haptic Displays for Scientific Visualization," COMPUTER GRAPHICS, Vol. 24, No. 4, August 1990, pgs. 177-185.
	C7	Colgate, J. E. et al., "Factors Affecting the Z-Width of a Haptic Display," published by IEEE Computer Society Press, Los Alamitos, California, in Proceedings: 1994 IEEE International Conference On Robotics and Automation, held May 8-13, 1994 in San Diego, California, Vol. 4, 1994, pgs. 3205-3210.
	C8	Colgate, J. E. et al., "Issues in the Haptic Display of Tool Use," published by IEEE Computer Society Press, Los Alamitos, California, in Proceedings: 1995 IEEE/RSJ International Conference on Intelligent Robots and Systems - Human Robot Interaction and Cooperative Robots, held August 5-9, 1995 in Pittsburgh, Pennsylvania, 1995, pgs. 140-145.
	C9	Dworkin, P. et al., "A New Model for Efficient Dynamic," Fourth Eurographics Animation and Simulation Workshop Proceedings Eurographics Technical Report Series, ISSN 1017-4656, September 4-5, 1993, pp. 135-147.



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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

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ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)

APPLICANTS: Zilles et al.

SERIAL NO.: 10/055,565

FILING DATE: October 26, 2001

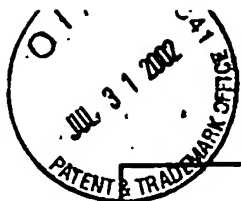
GROUP: 2173

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C10	Inoue H. et al., "Parallel Manipulator," Proceedings of 3rd Robotics Research: The Third International Symposium, Faugeras & Giralt, eds., MIT Press 1986.
C11	Iwata H., "Pen-based Haptic Virtual Environment," Proceedings of IEEE Virtual Reality Annual International Symposium, (September 18-22, 1993, Seattle, WA), pp. 287-292.
C12	Hirata, Y. et al., "3-Dimensional Interface Device for Virtual Work Space," Proceedings of the 1992 IEEE, July 7-10, 1992, pp. 889-896.
C13	Howe, R.D. et al., "Task Performance with a Dextrous Teleoperated Hand System," Telemanipulator Technology, November 1992, Proceedings of SPIE, Vol. 1833, pp. 1-9.
C14	Immersion Corporation Website, Immersion Corporation, 1997, 4 pgs. (not admitted as prior art)
C15	Immersion Corporation, "Laparoscopic IMPULSE ENGINE: A New FORCE FEEDBACK Surgical Simulation Tool", Immersion Corporation, 1995.
C16	Immersion Corporation, "Virtual Laparoscopic Interface", Immersion Corporation, 1995, 1 pg.
C17	Immersion Corporation, "The IMPULSE ENGINE™", Immersion Corporation, 1996.
C18	Kotoku, T., et al., "A Force Display Algorithm for Virtual Environments," SICE, pp. 347-355, 1992.
C19	Kraft Telerobotics, Inc., "GRIPS Force Feedback Manipulator System," Kraft Telerobotics, Inc. (date Unknown) 4 pgs.
C20	Kraft Telerobotics, Inc., "GRIPS Master/Slave Manipulator System," Kraft Telerobotics, Inc., 1988.
C21	Kraft Ocean Systems, "Grips Underwater Manipulator System", 4 pgs. (date unknown)
C22	Marcus, B.A., et al., "EXOS Research on Master Controllers for Robotic Devices," FIFTH ANNUAL WORKSHOP ON SPACE OPERATIONS APPLICATIONS AND RESEARCH (SOAR '91) pp. 238-245, July 1991.
C23	Massie, T. H., "Design of a Three Degree of Freedom Force-Reflecting Haptic Interface", Massachusetts Institute of Technology; Bachelor of Science in Electrical Science and Engineering Thesis, May, 1993, pgs. 1-38.
C24	Massie, T. H., "Initial Haptic Explorations with the Phantom: Virtual Touch Through Point Interaction", Massachusetts Institute of Technology Master of Science Thesis, February, 1996, pgs. 1-49. (not admitted as prior art)
C25	McAffee et al., "Teleoperator Subsystem/Telerobot Demonstrator," Force Reflecting Hand Controller Equipment Manual, Jet Propulsion Laboratory, January 1988.
C26	Minsky et al., "Feeling and Seeing: Issues in Force Display," COMPUTER GRAPHICS, Vol. 24, No. 2, March 1990, pgs. 235-270.
C27	Minsky, M., "Computational Haptics: The Sandpaper System for Synthesizing Texture for a Force-Feedback Display," Massachusetts Institute of Technology Ph.D. Thesis, June, 1995, pgs. 1-217.
C28	Morgenbesser, H. B., "Force Shading for Shape Perception in Haptic Virtual Environments", Massachusetts Institute of Technology Master of Engineering Thesis, September, 1995, pgs. 1-77.
C29	Salcudean S. E. et al., "On the Emulation of Stiff Walls and Static Friction with a Magnetically Levitated Input/Output Device," DYNAMIC SYSTEMS AND CONTROL: VOLUME 1, DSC-Vol. 55-1, 1994, pgs. 303-309.



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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: MIT-051CN2 (5473/53)

APPLICANTS: Zilies et al.

SERIAL NO.: 10/055,565

FILING DATE: October 26, 2001

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C30	Salisbury, K. et al., "Haptic Rendering: Programming Touch Interaction with Virtual Objects," Presented and disseminated at the 1995 Symposium on Interactive 3D Graphics held April 9-12, 1995 in Monterey, CA, sponsored by the Association for Computing Machinery (ACM) and published by the ACM in Proceedings: 1995 Symposium on Interactive 3D Graphics, Monterey, California, April 9-12, 1995, pgs. 123-130.
C31	SensAble Devices, Inc., "Phantom Haptic Interface," 1995, Cambridge, MA (2 pgs).
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